

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Osamu MITOMI et al.

Group Art Unit: 3765

Application No.: 10/628,472

Filed: July 29, 2003

Docket No.: 116723

For: OPTICAL WAVEGUIDE DEVICES AND TRAVELING WAVE TYPE OPTICAL
MODULATORSINFORMATION DISCLOSURE STATEMENTCommissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. The references 1-6 were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- ☒ 3. English language Abstracts of the non-English language references 3-4 are attached hereto.
- ☒ 4. A computer-generated English language translation of the following Japanese reference has been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and is attached, but has not been reviewed for accuracy. See Reference 3.
- ☒ 5. In accordance with 37 CFR §1.98(a)(2)(i), copies of any U.S. patents and patent application publications are not attached.

Respectfully submitted,


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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Sheet 1 of 1

Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 116723	APPLICATION NO. 10/628,472	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANTS Osamu MITOMI et al.		
				FILING DATE July 29, 2003	GROUP 3765	
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1)	5,991,491	11/23/1999	MADABHUSHI		
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	2)	EP 1 176 455 A1	01/30/2002	EUROPE		
	3)	JP A 5-93892 w/ abstract & trans	04/16/1993	JAPAN		
	4)	JP A 62-172880 w/ abstract	07/29/1987	JAPAN		
	5)	EP 1 291 706 A2	03/12/2003	EUROPE		
	6)	EP 1 245 993 A2	10/02/2002	EUROPE		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
EXAMINER				DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Date: October 5, 2004